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			Арр	olicatio	n Number	10/821,29	6		
			Filin	ng Date	9	April 8, 20)04		
TRANSMITTAL FORM			First	t Nam	ed Inventor .	David L. S	Shann	on	
(to be used for all correspor filed app		endency_of	Grou	up Art	Unit Number	2636			
			Exai	miner	Name	Julie Bich	ngoc	Lieu	
Total Number of Pages in Tr	nis Submission	7	Atto	mey C	Oocket Number	21790-078	321		
	ENCLO	OSURES	(ch	neck	all that apply	/)			
Fee Transmittal Form (in duplicate) osed od File Missing Part tion Cover Shee fon Disclosure St S Cited Reference Filing Receipt of Recorded Ass : [] Page(s)	ts t tatement & ees signment	·		ssue Fee Transr Letter to Chief Dr Formal Drawing(s	mittal raftsperson s): c) of Figure(s) ication to Boar ication to Grou Brief, Reply Br Priority Docum	rd of Ap up ief) nent(s)		and
REMARKS:									
	SIGNAT	TURE OF	ATTO	ORNI	EY OR AGEN	IT			
Signature: (176	BL	_					,	,
Attorney/Reg. No.: Jennif	ier R. Bush, Rec	g. No. 50,78	4			Dated:	101	28	05
	<u> </u>	ERTIFICA	ATE (OF M	AILING				
I hereby certify that this correspon first class mail in an envelope add If the Express Mail Mailing Number Express Mail Post Office to Addr	ndence, including the dressed to: Commis er is filled in below,	ne enclosures i ssioner for Pat then this corre	dentifie ents, P.	ed abov P.O. Box lence is	re, is being deposite 1450, Alexandria,	VA 22313-1450	on the da	ate sho	wn below.
Signature:	(w)	UXX	_					1	
Typed or Printed Name:	Jennifer R. Bus	h				Dated:	10	28	18

Express Mail Mailing Number (optional):



EE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known				
Application Number	10/821,296			
Filing Date	April 8, 2004			
First Named Inventor	David L. Shannon			
Examiner Name	Julie Bichngoc Lieu			
Art Unit	2636			
Attorney Docket No.	21790-07821			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
Check Credit Card Deposit Account:	Money Order ☐ Other ☐ None	3. AD	DITION	AL FEES	S			
Deposit Account Number	19-2555	<u>Large l</u>	Entity	Small E	ntity	Fee Description	Fee Paid	
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)			
	orized to: (check all that apply)	1051	130	2051	65	Surcharge - late filing fee or oath or declaration		
= * ``	below 🛛 Credit any overpayments	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
	s) or any underpayment of fee(s) due	1053	130	1053	130	Non-English specification		
application	1.17 during the pendency of this	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
	pelow, except for the filing fee to	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
the above-identified depo	osit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
FEE CA	LCULATION	1251	120	2251	60	Extension for reply within first month		
1. BASIC FILING FEE		1252	450	2252	225	Extension for reply within second month		
Large Entity Small Entity		1253	1020	2253	510	Extension for reply within third month		
Fee Fee Fee	Fee Description Fee Paid	1254	1,590	2254	795	Extension for reply within fourth month		
Code (\$) Code (\$)		1255	2,160		1,080	Extension for reply within fifth month		
		1401	500	2401	250	Notice of Appeal		
		1402	500	2402	250	Filing a brief in support of an appeal		
	-	1403 1451	1000 1,510	2403 1451	500 1,510	Request for oral hearing Petition to institute a public use proceeding		
		1452	500		250	, , , ,		
U SUBTOT	AL (1) (\$) 0	1452		2452		Petition to revive - unavoidable		
	FOR UTILITY AND REISSUE		1,500		750	Petition to revive - unintentional		
-	Claims Fee from San Dalid	1501	1,400	2501	700	Utility issue fee (or reissue)		
	below Fee Faid	1502	800	2502	400	Design issue fee		
	\dashv \vdash \vdash \dashv \vdash	1503	1100	2503	550	Plant issue fee		
Claims	X =	1460		1460	_	Petitions to the Director		
Multiple Dependent	=	1807	50	1807	50	Processing fee for Provisional Applications		
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	180	
Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 50 2202 25	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))		
1201 200 2201 100	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))		
1203 360 2203 180	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)		
	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application		
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other fo	ee (specify	y)				
<u> </u>						<u> </u>		
SUBTOT	(ψ) ψ			- FW		SUBTOTAL (3) (\$) 180		
or number previously paid, if greater; For Reissues, see above			o by Basic	Filing Fe	e Paid	<u> </u>		

SUBMITTED BY_					Complete (if appl	icable)
Name (Print/Type)	Jennifer R. Bush	Registration No. (Attorney/Agent)	50,784		Telephone (65	0) 335-7213
Signature	Carlel			Date	10/28	15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PPLICANT: David L. Shannon, Gregory Y.H. Lee and Christopher A.

Stephenson

LICATION NO.:

10/821,296

ING DATE:

April 8, 2004

TITLE:

Continuous Security State Tracking for Intermodal Containers

Transported Through a Global Supply Chain

EXAMINER:

Julie Bichngoc Lieu

GROUP ART UNIT:

2636

ATTY. DKT. NO.:

21790-07821

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

Bush, Reg. No.: 50,74

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for П

continued examination (RCE) under 37 CFR § 1.114;

ZI.			of the fifting date of this national application of the date of
	•		ational stage in an international application, or after the mailing
			st official action on the merits, whichever event last occurred, but
			iling date of the first to occur of either: (1) a final action under
			13; or (2) an action that otherwise closes prosecution in the
		ation, a	
	\boxtimes		ned hereto is the fee set forth under 37 CFR §1.17(p) for
		subm	ission of this Information Disclosure Statement under 37 CFR.§
		1.97(c); OR
		Appli	icant certifies pursuant to 37 CFR § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was first cited in a communication from
			a foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			OR
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained
			in this Statement was known to any individual designated
			under 37 CFR § 1.56(c) more than three months prior to the
			filing of this Statement;
	on or	before t	the payment of the issue fee but after the mailing date of the first
	to occ	ur of ei	ther: (1) a final action under 37 CFR § 1.113; (2) a notice of
	allow	ance un	der 37 CFR § 1.311; or (3) an action that otherwise closes
	prosec	cution i	n the application, and:
		Appli	cant certifies pursuant to 37 CFR. § 1.97(e) that:
٠			each item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained

		in this Statement was known to any individual designated
		under 37 CFR § 1.56(c) more than three months prior to the
		filing of this Statement; AND
		attached hereto is the fee set forth under 37 CFR §1.17(p) for
		submission of this Information Disclosure Statement under 37 CFR.§
		1.97(d); OR
	☐ afte	er the payment of the issue fee. Applicant requests that the information
		ntained in this Information Disclosure Statement be placed in the file
		ording to 37 CFR § 1.97(i), although the information may not be
		nsidered by the USPTO.
		s a copy of each listed reference that may be material to the examination of
		• •
		ation, and for which there may be a duty to disclose.
		cation relies, under 35 U.S.C. § 120, on the earlier filing date of prior
		No, filed on, and the references cited therein are hereby
		, but are not required to be provided in this application under 37 CFR §
	1.98(d).	
\boxtimes	• •	cation was filed after June 30, 2003. Therefore, pursuant to the waiver of
	-	ments under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each
	U.S. Paten	t Application Publication are not required to be submitted. Copies of any
	foreign pat	ent documents and non-patent literature cited herein are enclosed.
	Each item	of information contained in this Information Disclosure Statement was
	cited in a c	ommunication from a foreign patent office in a counterpart application,
	and the co	mmunication was not received by any individual designated in 37 CFR §
	1.56(c) mo	re than thirty days prior to the filing of this Information Disclosure
	Statement.	37 CFR § 1.704(d).
	Applicant	submits that no fee is required for the consideration of this Information
	Disclosure	Statement.
Consid	deration of t	he listed references and favorable action are solicited.
		Respectfully submitted,
		DAVID L. SHANNON ET AL.
9) ctober 28	3 2005
	1010000	Jennifer R. Bush, Reg. No.: 50,784
		Fenwick & West LLP
		Silicon Valley Center
		801 California Street
		Mountain View, CA 94041
		Tel.: (650) 335-7213 Fax.: (650) 938-5200
		гах tujul 730-34UU

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Dated:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known
INFORMATION DISCLOSURE	Application No.	10/821,296
STATEMENT BY APPLICANT	Filing Date	April 8, 2004
GIATERENT BY APPLICANT	First Named Inventor	David L. Shannon
(OCT 8 1 2005 (m)	Art Unit	2636
(OCI 4 , 1992 B)	Examiner Name	Julie Bichngoc Lieu
Street of 1	Attorney Docket Number	21790-07821
TRADES A	· - · · · · · · · · · · · · · · · · · ·	

U.S. PATENT DOCUMENTS							
		Document No.					
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
	A1	US-6,714,121	03-30-2004	Moore			
	A2	US-6,211,781	04-03-2001	McDonald			
	А3	US-5,565,858	10-15-1996	Guthrie			
		US-					
		US-					
		US-					
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•	FOREIGN PATENT DOCUMENTS								
,		Foreign Patent Document							
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶				
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		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
		·	
			1

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.